

Attorney Docket No. 3001 P 009

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Continuation Patent Application Of:
Kenneth Kiron and Kevin S. Bander

Application No.: 09/579,801

Confirmation No.: 8044

CPA Filed: December 30, 2002

For: Open End Mutual Fund Securitization Process)

Group Art Unit: 3624

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GROUP 3600

AMENDMENT TRANSMITTAL

BOX NON-FEE AMENDMENT

Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

X Transmitted herewith is a Second Preliminary Amendment in the above-identified Application.

X No additional fee is required, as shown below:

				Small Entity		Other Than Small Entity	
	Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra	Rate	Additional Fee	Rate	Additional Fee
Total	45	- 45	= 0	x \$ 9	-0-	x \$18	-0-
Independent	7	- 7	= 0	x \$ 42	-0-	x \$84	-0-
First Presentation of Multiple Dependent Claims				x \$140	-0-	x \$280	-0-
TOTAL ADDITIONAL FEE						\$-0-	

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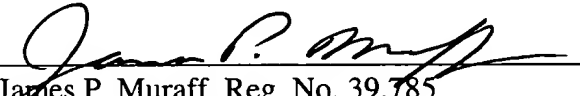
Applicants resubmit herewith a copy of the Declaration of Prior Invention in the United States to Overcome Cited Patent Pursuant to 37 C.F.R. 1.131, previously filed on March 28, 2003. The original Declaration may have been inadvertently filed without Exhibit A attached thereto. The enclosed copy of the Declaration includes Exhibit A.

The Commissioner is hereby authorized to charge payment of any **deficiency in fees** associated with this communication or credit any overpayment to Deposit Account No. 23-0280. A duplicate copy of this sheet is attached.

Respectfully submitted.

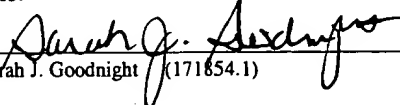
Date: April 4, 2003

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX NON-AMENDMENT, Commissioner of Patents, Washington, D.C. 20231 on April 4, 2003.


Sarah J. Goodnight (171854.1)